

# Wealth Namibia Direct Investment for Entity investors

|                   |   |   |  |  |  |  |  |  |  |  |  |  |  |  |  |
|-------------------|---|---|--|--|--|--|--|--|--|--|--|--|--|--|--|
| Investment number | P | P |  |  |  |  |  |  |  |  |  |  |  |  |  |
|-------------------|---|---|--|--|--|--|--|--|--|--|--|--|--|--|--|

## Your investment application

1. This form, the investment terms, the guides and the fund fact sheets, are the basis of our investment agreement. If you want copies of any of these documents, you can ask your financial adviser or contact us.
2. We populated certain fields on this form to guide you. You can change these fields according to your requirements.
3. You may not change any part of this form and the terms of this investment. When you correct any information you have completed, sign next to it.
4. According to the Financial Intelligence Act, Act 13 of 2012 ("FIA"), we must identify all beneficial owners who owns and/or controls the entity, and verify information if it is new or different to what we have on our records. Based on the information you provide, we may ask for additional information and documents. Refer to the FIA checklist for the required supporting documents.
5. If we cannot process any part of this request, we will inform you or your financial adviser.

## 1: Investor details

Only entities registered in Namibia can invest in this investment.

### 1.1 Entity details

We need proof of registration.

|                                                            |                                                                                                                         |                        |                        |   |   |   |   |   |   |   |   |
|------------------------------------------------------------|-------------------------------------------------------------------------------------------------------------------------|------------------------|------------------------|---|---|---|---|---|---|---|---|
| Type of entity                                             | Company/Close Corporation                                                                                               | Unlisted company       | Trust                  |   |   |   |   |   |   |   |   |
|                                                            | Other/Association                                                                                                       | If Other, give details |                        |   |   |   |   |   |   |   |   |
| Name of entity                                             |                                                                                                                         |                        |                        |   |   |   |   |   |   |   |   |
| Trading name, if different to the name of the entity above |                                                                                                                         |                        |                        |   |   |   |   |   |   |   |   |
| Previous entity/trading names                              |                                                                                                                         |                        |                        |   |   |   |   |   |   |   |   |
| Registration number                                        |                                                                                                                         |                        |                        |   |   |   |   |   |   |   |   |
| Date of registration                                       | <table border="1"> <tr> <td>D</td><td>D</td><td>M</td><td>M</td><td>Y</td><td>Y</td><td>Y</td><td>Y</td> </tr> </table> |                        |                        | D | D | M | M | Y | Y | Y | Y |
| D                                                          | D                                                                                                                       | M                      | M                      | Y | Y | Y | Y |   |   |   |   |
| Physical address                                           | Unit number                                                                                                             | Complex                |                        |   |   |   |   |   |   |   |   |
|                                                            | Street number                                                                                                           | Street name/Farm       |                        |   |   |   |   |   |   |   |   |
|                                                            | Suburb/District                                                                                                         |                        |                        |   |   |   |   |   |   |   |   |
|                                                            | City/Town                                                                                                               |                        |                        |   |   |   |   |   |   |   |   |
|                                                            | Postal code                                                                                                             | Country code           | N A M (NAM if Namibia) |   |   |   |   |   |   |   |   |

What is the entity's source of **income or wealth**?

|                 |                        |         |            |             |       |
|-----------------|------------------------|---------|------------|-------------|-------|
| Business profit | Interest and dividends | Savings | Investment | Inheritance | Other |
|-----------------|------------------------|---------|------------|-------------|-------|

Give more details like name and nature of the business, name of the financial institution and the account reference, the estate that paid the inheritance, or describe "other":











## 2.6 Portfolio distributions

Portfolios declare distributions of interest and dividends earned. The minimum disclosure documents (MDDs) or fund fact sheets of each portfolio have details about the portfolio distribution. Refer to momentum.com.na for the list of available portfolios.

We will automatically re-invest any distributions from your investment back into the applicable investment portfolio.

## 2.7 Fees

### Investment management company

All investment manager charges that apply to your investment are available on the relevant fund fact sheet of the investment portfolio. You can also ask your financial adviser for more details.

### Financial adviser

The initial and ongoing adviser fees are negotiated between you and your appointed financial adviser. We calculate the ongoing adviser fee using the total portfolio value every month. Refer to the table below for maximum permissible combinations of initial and ongoing adviser fees.

We will add VAT to the following fee percentages if the adviser fee is subject to VAT. If the fee differs from the fee and benefit proposal, the fee on this form will apply.

The maximum initial fee is 3% and the maximum ongoing fee is 1%.

Initial adviser fee

,   % excluding VAT

Ongoing adviser fee

,   % excluding VAT

## 3: Beneficiary details

You cannot nominate a beneficiary for this investment. It will form part of your estate when you die.

## 4: Financial adviser details and declaration

The servicing financial adviser must complete and sign this section.

### 4.1 Financial adviser details

| Name and surname                                | Financial adviser code | FSP name             | FSP code             | Fee reference        | Fee split %            | Marketing adviser code |
|-------------------------------------------------|------------------------|----------------------|----------------------|----------------------|------------------------|------------------------|
| Servicing financial adviser (preferred adviser) | <input type="text"/>   | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> % | <input type="text"/>   |
| <input type="text"/>                            | <input type="text"/>   | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> % | <input type="text"/>   |
| <input type="text"/>                            | <input type="text"/>   | <input type="text"/> | <input type="text"/> | <input type="text"/> | <input type="text"/> % | <input type="text"/>   |

%

### Servicing financial adviser detail

Cell phone number

+             Other +

Email address

### 4.2 Servicing financial adviser declaration

- I confirm that I have identified the investor, any guardian and the investment payer. I obtained and stored the verification documents and have verified these details as required by the Financial Intelligence Act (FIA). Yes ☐ No ☐
- I explained and provided all documents that apply to this investment to the investor before this form was signed.
- I explained to the investor all the fees and charges that apply to this investment.
- I acknowledge that the investor is not a resident, national, or citizen of the United States of America and is not a US person within the meaning of the definition of that term contained in Regulation S of the US Securities Act 1933 (as amended).
- Any request to you will be within the limits of the mandate I have from the investor.

**I have read this application form, the terms and the minimum disclosure documents or fund fact sheets that apply to this investment.**

**The information on this form is, to my knowledge, true and correct. I will not hold you liable for any loss or damage if this application is altered after I sign it.**

|                  |                      |                                                                                                                                                                                                                                                              |
|------------------|----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|
| <b>Signature</b> | <input type="text"/> | Date <input type="text" value="D"/> <input type="text" value="D"/> <input type="text" value="M"/> <input type="text" value="M"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> <input type="text" value="Y"/> |
|------------------|----------------------|--------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------------|

## 5: Investor declaration

- The investment amount is not the proceeds of any crime.
- I acknowledge that investment performance is not guaranteed and, if my investment is exposed to market risk, its value may fluctuate.
- It is my responsibility to get advice before making any investment decisions. I accept the risks related to this investment if I choose not to use the services of a financial adviser or do not follow my financial adviser's advice.
- I know that my financial adviser must be appropriately registered under the Long Term Insurance Act whereas Investment Manager is registered under Determination 4(1)(f) of the Stock Exchange Control Act.
- Any future request for this investment:  

|                                            |  |
|--------------------------------------------|--|
| Must be signed by the authorised signatory |  |
|--------------------------------------------|--|

   or  

|                                                                                         |  |
|-----------------------------------------------------------------------------------------|--|
| Can be signed by the appointed financial adviser as per the mandate for this investment |  |
|-----------------------------------------------------------------------------------------|--|
- Future instructions for this investment can be emailed to the appointed financial adviser or Momentum office.
- I, the undersigned, herewith consent that Momentum Wealth Namibia (Pty) Ltd:
  - may communicate with me via email and may send all relevant contracts, statements and other information pertaining to my investment to me to the email address provided in this application;
  - I further confirm that the email address provided herein is complete and correct in all respects and undertake that I will inform Momentum Wealth Namibia (Pty) Ltd immediately of any changes to the details herein contained and specifically of any changes to my email address;
  - I acknowledge that I am aware that communications sent by email:
    - can easily be tampered with;
    - intercepted or fraudulently abused by outsiders; and
  - I herewith expressly and fully indemnify Momentum Wealth Namibia (Pty) Ltd, its representatives and employees against and waive any rights in connection with any demand, claim or action, whether directly or indirectly relating to or in connection with any email communication, unless the matter is as a result of gross negligence or willful misconduct of Momentum Wealth Namibia (Pty) Ltd or any of its employees, in which case the matter shall be dealt with on its legal merits.
- If the entity is also an investment payer, I agree that for this investment:
  - you can check the details with the bank and collect money from the bank account; and
  - I can sign for this bank account.
- I understand and accept:
  - your timelines, business practices and administrative processes;
  - all fees related to this application and that you will pay it from the investment components when it is due;
  - that you can invest an amount when you have collected it or once a deposit reflects in your bank account;
  - the use of a default investment component for certain transactions and investment events; and
  - that you can share my personal information within Momentum Metropolitan Namibia Limited, its subsidiaries and contracted service providers in order to administer my investment and provide me with financial wellness information.
- I am aware that the Financial Intelligence Act (FIA) applies and I will follow its requirements.
- I am not, nor am I acting on behalf of a person who is either a resident, national or citizen of the United States of America or a US person within the meaning of the definition of that term contained in Regulation S of the US Securities Act 1933 (as amended).
- I acknowledge that you may be required to send the information provided to the Namibia Revenue Agency, who may share it with the local tax authority according to the Organisation for Economic Co-operation and Developments (OECDs) Common Reporting Standard (CRS).
- I undertake to inform you in writing of any changes in my circumstances or details I provided within 30 days of any change.

**I have carefully read, and I understand and accept this application form, the terms, fees and minimum disclosure documents or fund fact sheets that apply to this investment. The information I completed on this form is true and correct. I will not hold you liable for any loss or damage if someone changes this form after I sign it.**

Name and Surname of authorised signatory

Name and Surname of additional signatory

Capacity of signatory

I am also signing as the authorised: ☐ Lump sum investment payer ☐ Regular investment payer

Signed at  Date

|                             |  |                                               |  |
|-----------------------------|--|-----------------------------------------------|--|
| <b>Authorised signatory</b> |  | <b>Other authorised signature if required</b> |  |
|-----------------------------|--|-----------------------------------------------|--|

**For office use:**

**EMPLOYEE/FINANCIAL ADVISER WHO CONFIRMED RECEIPT OF ALL ORIGINAL DOCUMENTS**

Full name

Surname

Employee number

**Signature**

Date

**Contact details**

**Client contact centre**

Telephone: (+264) 61 297 3737, Fax: (+264) 61 297 3679, Email: [wealthservice@momentum.com.na](mailto:wealthservice@momentum.com.na)

Address: Cnr Dr Frans Indongo & Werner List Streets, Postal: PO Box 3785 Windhoek Namibia, Website: [momentum.com.na](http://momentum.com.na)

**Momentum Wealth Namibia (Pty) Ltd**, an authorised financial services provider Reg no 2016/0297  
**Momentum Metropolitan Namibia Limited**, an authorised financial services provider Reg no 89/327  
 Directors: JJ Comalie [Chairperson], EP Nailenge [Managing Director], JA Ipinge, SH Nghikembua